



In re application of

Docket No: Q79878

Tomoya SASAKI

Appln. No.: 10/796,083

Group Art Unit: 1752

Confirmation No.: 2686

Examiner: Not yet assigned

Filed: March 10, 2004

For:

POSITIVE RESIST COMPOSITION AND METHOD OF FORMING A RESIST

PATTERN USING THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/796,083

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

Please note that WO 00/67072 is listed on the Partial European Search Report but

has already been submitted in an IDS on March 10, 2004.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 32,197

Mark Boland

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 29, 2004

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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POSITIVE RESIST COMPOSITION AND METHOD OF FORMING A RESIST PATTERN

USING THE SAME

STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 32,197

Mark Boland

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Substitute for Form 1449 A & B/PTO	Complete if Known				
	Application Number	10/796,083			
INFORMATION DISCLOSURE	Confirmation Number	2686			
STATEMENT BY APPLICANT	Filing Date	March 10, 2004			
o Mar m	First Named Inventor	Tomoya SASAKI			
(use as many sheets as necessary)	Art Unit	Not yet assigned			
32	Examiner Name ·				
Sheet of 1	Attorney Docket Number	Q79878			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document No	umber	Publication Date MM-DD-YYYY		
		Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	
		US 2002/0155376	A1	10/24/2002	Hashimoto et al.	
		US				
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	m	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
		EP	1 367 440	A2	12/3/2003		English	

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NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initials* No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.					
		Shintaro YAMADA et al., "Design and Study of Resist Materials for 157nm Lithography", Advances in Resist Technology and Processing XX, Theodore H. Fedynyshyn, Proceedings of SPIE Vol. 5039 (2003), pp. 569-579	English			
		Partial European Search Report dated September 2, 2004				

Examiner Signature			1 1	Date Considered	
Examiner Signature	 	 ···			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.